

SEALED BY ORDER  
OF THE COURT

## DEFENDANT INFORMATION RELATIVE TO A CRIMINAL ACTION - IN U.S. DISTRICT COURT

BY: ☐ COMPLAINT ☐ INFORMATION ☒ INDICTMENT  
☐ SUPERSEDING

## OFFENSE CHARGED

See Attachment

- ☐ Petty  
☐ Minor  
☐ Misdemeanor  
☒ Felony

PENALTY:

See Attachment

CR 15

Name of District Court, and/or Judge/Magistrate Location

NORTHERN DISTRICT OF CALIFORNIA

SAN JOSE DIVISION

DEFENDANT - U.S.

RAGINI VECHAM a/k/a/ Ragini Vechman

DISTRICT COURT NUMBER

00 427

FILED  
SEP 02 2015  
CLERK U.S. DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
SAN JOSE  
BLF  
PSG

## PROCEEDING

Name of Complainant Agency, or Person (&amp; Title, if any)

Chris Collins - DOL/OIG

☐ person is awaiting trial in another Federal or State Court, give name of court☐ this person/proceeding is transferred from another district per (circle one) FRCrp 20, 21, or 40. Show District☐ this is a reprosecution of charges previously dismissed which were dismissed on motion of:☐ U.S. ATTORNEY ☐ DEFENSESHOW  
DOCKET NO.☐ this prosecution relates to a pending case involving this same defendantMAGISTRATE  
CASE NO.☐ prior proceedings or appearance(s) before U.S. Magistrate regarding this defendant were recorded under

## IS NOT IN CUSTODY

Has not been arrested, pending outcome of this proceeding.

1) ☒ If not detained give date any prior summons was served on above charges2) ☐ Is a Fugitive3) ☐ Is on Bail or Release from (show District)

## IS IN CUSTODY

4) ☐ On this charge5) ☐ On another conviction☐ Federal ☐ State6) ☐ Awaiting trial on other charges

If answer to (6) is "Yes", show name of institution

Has detainer been filed? ☐ Yes ☐ No

If "Yes" give date filed

DATE OF ARREST

Month/Day/Year

Or... if Arresting Agency &amp; Warrant were not

DATE TRANSFERRED TO U.S. CUSTODY

Month/Day/Year

Name and Office of Person

Furnishing Information on this form Brian J. Stretch

☒ U.S. Attorney ☐ Other U.S. Agency

Name of Assistant U.S.

Attorney (if assigned) Timothy J. Lucey

☐ This report amends AO 257 previously submitted

## ADDITIONAL INFORMATION OR COMMENTS

## PROCESS:

☐ SUMMONS ☐ NO PROCESS\* ☒ WARRANT

Bail Amount: No Bail

If Summons, complete following:

☐ Arraignment ☐ Initial Appearance

Defendant Address:

\* Where defendant previously apprehended on complaint, no new summons or warrant needed, since Magistrate has scheduled arraignment

Date/Time:

Before Judge:

Comments:

42

ATTACHMENT TO PENALTY SHEET

U.S.

v.

RAGINI VECHAM, et al.

**Count One** : 18 U.S.C. § 371 – Conspiracy to Commit Visa Fraud, Mail Fraud, Obstruction of Justice, Witness Tampering, and to Defraud the United States (Vecham, Pallapothu, Tota, Horizon, Softnet, Reddy, Rose, Sage, Jasmine, Tulip and Lily)

<u>Penalties:</u>	Maximum prison sentence	5 years
	Fine	\$250,000
	Supervised release term	3 years
	Special assessment	\$100

**Counts Two - Fourteen**: 18 U.S.C. §1546(a) - Visa Fraud (Vecham and Pallapothu)

<u>Penalties:</u>	Maximum prison sentence	10 years
	Fine	\$250,000
	Supervised release term	3 years
	Special assessment	\$100

**Counts Fifteen – Twenty-seven**: Mail Fraud, 18 U.S.C. § 1341 (Vecham and Pallapothu)

<u>Penalties:</u>	Maximum prison sentence	20 years
	Fine	\$250,000 or twice the amount of gain or loss
	Supervised release term	3 years
	Special assessment	\$100

**Count Twenty-eight**: 18 U.S.C. § 1505 - Obstruction of Justice, (Pallapothu)

<u>Penalties:</u>	Maximum prison sentence	5 years
	Fine	\$250,000
	Supervised release term	3 years
	Special assessment	\$100

**Counts Twenty-nine and Thirty:** 18 U.S.C. § 1512(b)(3) - Witness Tampering  
(Pallapothu)

Penalties:	Maximum prison sentence	20 years
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(Tota)

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**Count Thirty-two:** 18 U.S.C. § 1014 - Loan Fraud  
(Vecham, Pallapothu, and Rose 23 Hayward LLC)

**Count Thirty-three:** 18 U.S.C. § 1014 - Loan Fraud  
(Vecham, Pallapothu, and Sage 20 Hayward LLC)

Penalties:	Maximum prison sentence	30 years
	Fine	\$1,000,000 or twice the amount of gain or loss
	Supervised release term	5 years
	Special assessment	\$100

**Count Thirty-four:** 18 U.S.C. § 1343 - Wire Fraud  
(Vecham, Pallapothu, Horizon, Softnet and Rose 23  
Hayward LLC)

Penalties:	Maximum prison sentence	20 years
	Fine	\$250,000 or twice the amount of gain or loss
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**Counts Thirty-five and Thirty-six:** 18 U.S.C. § 1957 - Money Laundering ("Proceeds")  
(Vecham, Pallapothu, Horizon, and  
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Penalties:	Maximum prison sentence	10 years
	Fine	\$500,000, or twice the value of the monetary instruments or funds involved, whichever is greater
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(Vecham, Pallapothu, and Tulip 26 Hayward LLC)

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AO 257 (Rev. 6/78)

## DEFENDANT INFORMATION RELATIVE TO A CRIMINAL ACTION - IN U.S. DISTRICT COURT

BY: ☐ COMPLAINT ☐ INFORMATION ☒ INDICTMENT☐ SUPERSEDING

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NORTHERN DISTRICT OF CALIFORNIA

SAN JOSE DIVISION

## OFFENSE CHARGED

See Attachment

☐ Petty☐ Minor☐ Misdemeanor☒ Felony

PENALTY:

See Attachment

CR 15

## DEFENDANT - U.S.

KISHORE PALLAPOTHU a/k/a Krishna Kishore

DISTRICT COURT NUMBER

00 427

BLF  
PSG

## PROCEEDING

Name of Complainant Agency, or Person (&amp; Title, if any)

Chris Collins - DOL/OIG

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CR 14-70298 PSG

Name and Office of Person

Furnishing Information on this form

Brian J. Stretch

☒ U.S. Attorney ☐ Other U.S. Agency

Name of Assistant U.S.

Attorney (if assigned)

Timothy J. Lucey

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A2

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**U.S.**  
**v.**  
**RAGINI VECHAM, et al.**

**Count One** : 18 U.S.C. § 371 – Conspiracy to Commit Visa Fraud, Mail Fraud, Obstruction of Justice, Witness Tampering, and to Defraud the United States (Vecham, Pallapothu, Tota, Horizon, Softnet, Reddy, Rose, Sage, Jasmine, Tulip and Lily)

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(Vecham, Pallapothu, and Rose 23 Hayward LLC)

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- ☐
- Petty
- 
- ☐
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- 
- ☒
- Felony

PENALTY:

See Attachment

Name of District Court, and/or Judge/Magistrate Location

NORTHERN DISTRICT OF CALIFORNIA

SAN JOSE DIVISION

## DEFENDANT - U.S.

SATYANARAYANA TOTA

DISTRICT COURT NUMBER

CR 15

00 427

FILED  
DEFENDANT

BLF

PSG

## PROCEEDING

Name of Complainant Agency, or Person (&amp; Title, if any)

Chris Collins - DOL/OIG

☐ person is awaiting trial in another Federal or State Court, give name of court☐ this person/proceeding is transferred from another district per (circle one) FRCrp 20, 21, or 40. Show District☐ this is a reprosecution of charges previously dismissed which were dismissed on motion of:☐ U.S. ATTORNEY ☐ DEFENSESHOW  
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Month/Day/Year

Name and Office of Person

Furnishing Information on this form Brian J. Stretch

☒ U.S. Attorney ☐ Other U.S. Agency

Name of Assistant U.S.

Attorney (if assigned)

Timothy J. Lucey

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**U.S.**  
**v.**  
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## DEFENDANT INFORMATION RELATIVE TO A CRIMINAL ACTION - IN U.S. DISTRICT COURT

BY: ☐ COMPLAINT ☐ INFORMATION ☒ INDICTMENT ☐ SUPERSEDING

## OFFENSE CHARGED

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- ☐ Petty  
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☒ Felony

PENALTY:

See Attachment

Name of District Court, and/or Judge/Magistrate Location

NORTHERN DISTRICT OF CALIFORNIA

SAN JOSE DIVISION

## DEFENDANT - U.S.

HORIZON TECHNOLOGIES, INC.

DISTRICT COURT NUMBER

CR 15

00 427

BLF PSG

## PROCEEDING

Name of Complainant Agency, or Person (&amp; Title, if any)

Chris Collins - DOL/OIG

☐ person is awaiting trial in another Federal or State Court, give name of court

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SHOW DOCKET NO.

☐ this prosecution relates to a pending case involving this same defendant

MAGISTRATE CASE NO.

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Name and Office of Person

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☒ U.S. Attorney ☐ Other U.S. Agency

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AO 257 (Rev. 6/78)

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NORTHERN DISTRICT OF CALIFORNIA

SAN JOSE DIVISION

## DEFENDANT - U.S.

SOFTNET TECHNOLOGY SOLUTIONS, INC.,

a/k/a Sonora Staffing

DISTRICT COURT NUMBER

CR 15

00427

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BLF  
PSG

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Furnishing Information on this form Brian J. Stretch

☒ U.S. Attorney ☐ Other U.S. Agency

Name of Assistant U.S.

Attorney (if assigned) Timothy J. Lucey

☐ This report amends AO 257 previously submitted

## ADDITIONAL INFORMATION OR COMMENTS

## PROCESS:

☐ SUMMONS ☒ NO PROCESS\* ☐ WARRANT

If Summons, complete following:

☐ Arraignment ☐ Initial Appearance

Defendant Address:

Bail Amount: \_\_\_\_\_

\* Where defendant previously apprehended on complaint, no new summons or warrant needed, since Magistrate has scheduled arraignment

Date/Time: \_\_\_\_\_

Before Judge: \_\_\_\_\_

Comments:

15

**ATTACHMENT TO PENALTY SHEET**

**U.S.**  
**v.**  
**RAGINI VECHAM, et al.**

**Count One** : 18 U.S.C. § 371 – Conspiracy to Commit Visa Fraud, Mail Fraud, Obstruction of Justice, Witness Tampering, and to Defraud the United States (Vecham, Pallapothu, Tota, Horizon, Softnet, Reddy, Rose, Sage, Jasmine, Tulip and Lily)

<u>Penalties:</u>	Maximum prison sentence	5 years
	Fine	\$250,000
	Supervised release term	3 years
	Special assessment	\$100

**Counts Two - Fourteen**: 18 U.S.C. § 1546(a) - Visa Fraud (Vecham and Pallapothu)

<u>Penalties:</u>	Maximum prison sentence	10 years
	Fine	\$250,000
	Supervised release term	3 years
	Special assessment	\$100

**Counts Fifteen – Twenty-seven**: 18 U.S.C. § 1341 - Mail Fraud (Vecham and Pallapothu)

<u>Penalties:</u>	Maximum prison sentence	20 years
	Fine	\$250,000 or twice the amount of gain or loss
	Supervised release term	3 years
	Special assessment	\$100

**Count Twenty-eight**: 18 U.S.C. § 1505 - Obstruction of Justice, (Pallapothu)

<u>Penalties:</u>	Maximum prison sentence	5 years
	Fine	\$250,000
	Supervised release term	3 years
	Special assessment	\$100

**Counts Twenty-nine and Thirty:** 18 U.S.C. § 1512(b)(3) - Witness Tampering  
(Pallapothu)

Penalties:	Maximum prison sentence	20 years
	Fine	\$250,000
	Supervised release term	3 years
	Special assessment	\$100

**Count Thirty-one:** 18 U.S.C. § 1505 – Obstruction of Justice  
(Tota)

Penalties:	Maximum prison sentence	5 years
	Fine	\$250,000
	Supervised release term	3 years
	Special assessment	\$100

**Count Thirty-two:** 18 U.S.C. § 1014 - Loan Fraud  
(Vecham, Pallapothu, and Rose 23 Hayward LLC)

**Count Thirty-three:** 18 U.S.C. § 1014 - Loan Fraud  
(Vecham, Pallapothu, and Sage 20 Hayward LLC)

Penalties:	Maximum prison sentence	30 years
	Fine	\$1,000,000 or twice the amount of gain or loss
	Supervised release term	5 years
	Special assessment	\$100

**Count Thirty-four:** 18 U.S.C. § 1343 - Wire Fraud  
(Vecham, Pallapothu, Horizon, Softnet and Rose 23  
Hayward LLC)

Penalties:	Maximum prison sentence	20 years
	Fine	\$250,000 or twice the amount of gain or loss
	Supervised release term	3 years
	Special assessment	\$100

**Counts Thirty-five and Thirty-six:** 18 U.S.C. § 1957 - Money Laundering ("Proceeds")  
(Vecham, Pallapothu, Horizon, and  
Rose 23 Hayward LLC)

Penalties:	Maximum prison sentence	10 years
	Fine	\$500,000, or twice the value of the monetary instruments or funds involved, whichever is greater
	Supervised release term	3 years
	Special assessment	\$100

**Count Thirty-seven:** 18 U.S.C. § 1014 - Loan Fraud  
(Vecham, Pallapothu, and Jasmine 20 Hayward LLC)

**Count Thirty-eight:** 18 U.S.C. § 1014 - Loan Fraud  
(Vecham, Pallapothu, and Tulip 26 Hayward LLC)

**Count Thirty-nine:** 18 U.S.C. § 1014 - Loan Fraud  
(Vecham, Pallapothu, and Lily 20 Hayward LLC)

Penalties:	Maximum prison sentence	30 years
	Fine	\$1,000,000 or twice the amount of gain or loss
	Supervised release term	5 years
	Special assessment	\$100

SEALED BY ORDER

E-filing

## DEFENDANT INFORMATION RELATIVE TO A CRIMINAL ACTION - IN U.S. DISTRICT COURT

 BY: ☐ COMPLAINT ☐ INFORMATION ☒ INDICTMENT  
☐ SUPERSEDING

## OFFENSE CHARGED

See Attachment

- ☐
- Petty
- 
- ☐
- Minor
- 
- ☐
- Misdemeanor
- 
- ☒
- Felony

PENALTY:

See Attachment

Name of District Court, and/or Judge/Magistrate Location

NORTHERN DISTRICT OF CALIFORNIA

SAN JOSE DIVISION

DEFENDANT - U.S.

RAMANA REDDY

DISTRICT COURT NUMBER

CR 15

00

FILED BLF  
SEP 02 2015  
PSG

## PROCEEDING

Name of Complainant Agency, or Person (&amp; Title, if any)

Chris Collins - DOL/OIG

☐ person is awaiting trial in another Federal or State Court, give name of court

☐ this person/proceeding is transferred from another district per (circle one) FRCrp 20, 21, or 40. Show District

☐ this is a reprosecution of charges previously dismissed which were dismissed on motion of:

☐ U.S. ATTORNEY ☐ DEFENSE
SHOW  
DOCKET NO.
☐ this prosecution relates to a pending case involving this same defendant
MAGISTRATE  
CASE NO.
☐ prior proceedings or appearance(s) before U.S. Magistrate regarding this defendant were recorded under

## IS NOT IN CUSTODY

 1) ☒ If not detained, have any prior summons been served on defendant?
2) ☐ Is a Fugitive3) ☐ Is on Bail or Release from (show District)

## IS IN CUSTODY

4) ☐ On this charge5) ☐ On another conviction
☐ Federal ☐ State
6) ☐ Awaiting trial on other charges

If answer to (6) is "Yes", show name of institution

 Has detainer been filed? ☐ Yes ☐ No

If "Yes" give date filed

DATE OF  
ARREST

Month/Day/Year

Or... if Arresting Agency &amp; Warrant were not

DATE TRANSFERRED  
TO U.S. CUSTODY

Month/Day/Year

Name and Office of Person

Furnishing Information on this form Brian J. Stretch

☒ U.S. Attorney ☐ Other U.S. Agency

Name of Assistant U.S. Attorney (if assigned)

Timothy J. Lucey

☐ This report amends AO 257 previously submitted

## ADDITIONAL INFORMATION OR COMMENTS

## PROCESS:

☐ SUMMONS ☐ NO PROCESS\* ☒ WARRANT

Bail Amount: No Bail

If Summons, complete following:

☐ Arraignment ☐ Initial Appearance

Defendant Address:

\* Where defendant previously apprehended on complaint, no new summons or warrant needed, since Magistrate has scheduled arraignment

Date/Time:

Before Judge:

Comments:

Δ6

**ATTACHMENT TO PENALTY SHEET**

**U.S.**  
**v.**  
**RAGINI VECHAM, et al.**

**Count One** : 18 U.S.C. § 371 – Conspiracy to Commit Visa Fraud, Mail Fraud, Obstruction of Justice, Witness Tampering, and to Defraud the United States (Vecham, Pallapothu, Tota, Horizon, Softnet, Reddy, Rose, Sage, Jasmine, Tulip and Lily)

<u>Penalties:</u>	Maximum prison sentence	5 years
	Fine	\$250,000
	Supervised release term	3 years
	Special assessment	\$100

**Counts Two - Fourteen**: 18 U.S.C. § 1546(a) - Visa Fraud (Vecham and Pallapothu)

<u>Penalties:</u>	Maximum prison sentence	10 years
	Fine	\$250,000
	Supervised release term	3 years
	Special assessment	\$100

**Counts Fifteen – Twenty-seven**: 18 U.S.C. § 1341 - Mail Fraud (Vecham and Pallapothu)

<u>Penalties:</u>	Maximum prison sentence	20 years
	Fine	\$250,000 or twice the amount of gain or loss
	Supervised release term	3 years
	Special assessment	\$100

**Count Twenty-eight**: 18 U.S.C. § 1505 - Obstruction of Justice, (Pallapothu)

<u>Penalties:</u>	Maximum prison sentence	5 years
	Fine	\$250,000
	Supervised release term	3 years
	Special assessment	\$100

**Counts Twenty-nine and Thirty:** 18 U.S.C. § 1512(b)(3) - Witness Tampering  
(Pallapothu)

Penalties:	Maximum prison sentence	20 years
	Fine	\$250,000
	Supervised release term	3 years
	Special assessment	\$100

**Count Thirty-one:** 18 U.S.C. § 1505 – Obstruction of Justice  
(Tota)

Penalties:	Maximum prison sentence	5 years
	Fine	\$250,000
	Supervised release term	3 years
	Special assessment	\$100

**Count Thirty-two:** 18 U.S.C. § 1014 - Loan Fraud  
(Vecham, Pallapothu, and Rose 23 Hayward LLC)

**Count Thirty-three:** 18 U.S.C. § 1014 - Loan Fraud  
(Vecham, Pallapothu, and Sage 20 Hayward LLC)

Penalties:	Maximum prison sentence	30 years
	Fine	\$1,000,000 or twice the amount of gain or loss
	Supervised release term	5 years
	Special assessment	\$100

**Count Thirty-four:** 18 U.S.C. § 1343 - Wire Fraud  
(Vecham, Pallapothu, Horizon, Softnet and Rose 23  
Hayward LLC)

Penalties:	Maximum prison sentence	20 years
	Fine	\$250,000 or twice the amount of gain or loss
	Supervised release term	3 years
	Special assessment	\$100

**Counts Thirty-five and Thirty-six:** 18 U.S.C. § 1957 - Money Laundering ("Proceeds")  
(Vecham, Pallapothu, Horizon, and  
Rose 23 Hayward LLC)

Penalties:	Maximum prison sentence	10 years
	Fine	\$500,000, or twice the value of the monetary instruments or funds involved, whichever is greater
	Supervised release term	3 years
	Special assessment	\$100

**Count Thirty-seven:** 18 U.S.C. § 1014 - Loan Fraud  
(Vecham, Pallapothu, and Jasmine 20 Hayward LLC)

**Count Thirty-eight:** 18 U.S.C. § 1014 - Loan Fraud  
(Vecham, Pallapothu, and Tulip 26 Hayward LLC)

**Count Thirty-nine:** 18 U.S.C. § 1014 - Loan Fraud  
(Vecham, Pallapothu, and Lily 20 Hayward LLC)

Penalties:	Maximum prison sentence	30 years
	Fine	\$1,000,000 or twice the amount of gain or loss
	Supervised release term	5 years
	Special assessment	\$100

SEALED BY ORDER

Filing

## DEFENDANT INFORMATION RELATIVE TO A CRIMINAL ACTION - IN U.S. DISTRICT COURT

 BY: ☐ COMPLAINT ☐ INFORMATION ☒ INDICTMENT  
☐ SUPERSEDING

## OFFENSE CHARGED

See Attachment

- ☐
- Petty
- 
- ☐
- Minor
- 
- ☐
- Misdemeanor
- 
- ☒
- Felony

PENALTY:

See Attachment

CR 15

00 427

FILED

DEFENDANT

PSG

## PROCEEDING

Name of Complainant Agency, or Person (&amp; Title, if any)

Chris Collins - DOL/OIG

☐ person is awaiting trial in another Federal or State Court, give name of court

☐ this person/proceeding is transferred from another district per (circle one) FRCrp 20, 21, or 40. Show District

☐ this is a reprosecution of charges previously dismissed which were dismissed on motion of:

☐ U.S. ATTORNEY ☐ DEFENSE

SHOW DOCKET NO.

☐ this prosecution relates to a pending case involving this same defendant

MAGISTRATE CASE NO.

☐ prior proceedings or appearance(s) before U.S. Magistrate regarding this defendant were recorded under

Name and Office of Person

Furnishing Information on this form Brian J. Stretch

☒ U.S. Attorney ☐ Other U.S. Agency

Name of Assistant U.S. Attorney (if assigned)

Timothy J. Lucey

Name of District Court, and/or Judge/Magistrate Location

NORTHERN DISTRICT OF CALIFORNIA

SAN JOSE DIVISION

## DEFENDANT - U.S.

ROSE 23 HAYWARD LLC

DISTRICT COURT NUMBER

IS NOT IN CUSTODY

 1) ☒ If not detained, arrested, pending outcome this proceeding, any prior summons or arrest above charges
2) ☐ Is a Fugitive3) ☐ Is on Bail or Release from (show District)

## IS IN CUSTODY

4) ☐ On this charge5) ☐ On another conviction
☐ Federal ☐ State
6) ☐ Awaiting trial on other charges

If answer to (6) is "Yes", show name of institution

 Has detainer been filed? ☐ Yes ☐ No

If "Yes" give date filed

DATE OF ARREST

Month/Day/Year

Or... If Arresting Agency &amp; Warrant were not

DATE TRANSFERRED TO U.S. CUSTODY

Month/Day/Year

☐ This report amends AO 257 previously submitted

## ADDITIONAL INFORMATION OR COMMENTS

## PROCESS:

☐ SUMMONS ☒ NO PROCESS\* ☐ WARRANT

Bail Amount: \_\_\_\_\_

If Summons, complete following:

☐ Arraignment ☐ Initial Appearance

Defendant Address:

\*Where defendant previously apprehended on complaint, no new summons or warrant needed, since Magistrate has scheduled arraignment

Date/Time: \_\_\_\_\_ Before Judge: \_\_\_\_\_

Comments:

17

**ATTACHMENT TO PENALTY SHEET**

**U.S.**  
**v.**  
**RAGINI VECHAM, et al.**

**Count One** : 18 U.S.C. § 371 – Conspiracy to Commit Visa Fraud, Mail Fraud, Obstruction of Justice, Witness Tampering, and to Defraud the United States (Vecham, Pallapothu, Tota, Horizon, Softnet, Reddy, Rose, Sage, Jasmine, Tulip and Lily)

<u>Penalties:</u>	Maximum prison sentence	5 years
	Fine	\$250,000
	Supervised release term	3 years
	Special assessment	\$100

**Counts Two - Fourteen**: 18 U.S.C. § 1546(a) - Visa Fraud (Vecham and Pallapothu)

<u>Penalties:</u>	Maximum prison sentence	10 years
	Fine	\$250,000
	Supervised release term	3 years
	Special assessment	\$100

**Counts Fifteen – Twenty-seven**: 18 U.S.C. § 1341 - Mail Fraud (Vecham and Pallapothu)

<u>Penalties:</u>	Maximum prison sentence	20 years
	Fine	\$250,000 or twice the amount of gain or loss
	Supervised release term	3 years
	Special assessment	\$100

**Count Twenty-eight**: 18 U.S.C. § 1505 - Obstruction of Justice, (Pallapothu)

<u>Penalties:</u>	Maximum prison sentence	5 years
	Fine	\$250,000
	Supervised release term	3 years
	Special assessment	\$100

**Counts Twenty-nine and Thirty:** 18 U.S.C. § 1512(b)(3) - Witness Tampering  
(Pallapothu)

Penalties:	Maximum prison sentence	20 years
	Fine	\$250,000
	Supervised release term	3 years
	Special assessment	\$100

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(Tota)

Penalties:	Maximum prison sentence	5 years
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	Supervised release term	3 years
	Special assessment	\$100

**Count Thirty-two:** 18 U.S.C. § 1014 - Loan Fraud  
(Vecham, Pallapothu, and Rose 23 Hayward LLC)

**Count Thirty-three:** 18 U.S.C. § 1014 - Loan Fraud  
(Vecham, Pallapothu, and Sage 20 Hayward LLC)

Penalties:	Maximum prison sentence	30 years
	Fine	\$1,000,000 or twice the amount of gain or loss
	Supervised release term	5 years
	Special assessment	\$100

**Count Thirty-four:** 18 U.S.C. § 1343 - Wire Fraud  
(Vecham, Pallapothu, Horizon, Softnet and Rose 23  
Hayward LLC)

Penalties:	Maximum prison sentence	20 years
	Fine	\$250,000 or twice the amount of gain or loss
	Supervised release term	3 years
	Special assessment	\$100

**Counts Thirty-five and Thirty-six:** 18 U.S.C. § 1957 - Money Laundering ("Proceeds")  
(Vecham, Pallapothu, Horizon, and  
Rose 23 Hayward LLC)

Penalties:	Maximum prison sentence	10 years
	Fine	\$500,000, or twice the value of the monetary instruments or funds involved, whichever is greater
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	Special assessment	\$100

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(Vecham, Pallapothu, and Jasmine 20 Hayward LLC)

**Count Thirty-eight:** 18 U.S.C. § 1014 - Loan Fraud  
(Vecham, Pallapothu, and Tulip 26 Hayward LLC)

**Count Thirty-nine:** 18 U.S.C. § 1014 - Loan Fraud  
(Vecham, Pallapothu, and Lily 20 Hayward LLC)

Penalties:	Maximum prison sentence	30 years
	Fine	\$1,000,000 or twice the amount of gain or loss
	Supervised release term	5 years
	Special assessment	\$100

SEALED BY ORDER  
OF THE COURT

## DEFENDANT INFORMATION RELATIVE TO A CRIMINAL ACTION - IN U.S. DISTRICT COURT

BY: ☐ COMPLAINT ☐ INFORMATION ☒ INDICTMENT  
☐ SUPERSEDING

Name of District Court, and/or Judge/Magistrate Location

NORTHERN DISTRICT OF CALIFORNIA

SAN JOSE DIVISION

## OFFENSE CHARGED

See Attachment

- ☐
- Petty
- 
- ☐
- Minor
- 
- ☐
- Misdemeanor
- 
- ☒
- Felony

PENALTY:

See Attachment

CR 15

00427

FILED

BLF  
PSG

## PROCEEDING

Name of Complainant Agency, or Person (&amp; Title, if any)

Chris Collins - DOL/OIG

☐ person is awaiting trial in another Federal or State Court, give name of court☐ this person/proceeding is transferred from another district per (circle one) FRCrp 20, 21, or 40. Show District☐ this is a reprosecution of charges previously dismissed which were dismissed on motion of:☐ U.S. ATTORNEY ☐ DEFENSESHOW  
DOCKET NO.☐ this prosecution relates to a pending case involving this same defendantMAGISTRATE  
CASE NO.☐ prior proceedings or appearance(s) before U.S. Magistrate regarding this defendant were recorded under

## IS NOT IN CUSTODY

Has not been arrested, pending outcome this proceeding.

- 1) ☒ If not detained, state any prior summons was served on above charges
- 2) ☐ Is a Fugitive
- 3) ☐ Is on Bail or Release from (show District)

## IS IN CUSTODY

- 4) ☐ On this charge
- 5) ☐ On another conviction } ☐ Federal ☐ State
- 6) ☐ Awaiting trial on other charges

If answer to (6) is "Yes", show name of institution

Has detainer been filed? ☐ Yes ☐ No

If "Yes" give date filed

DATE OF  
ARREST

Month/Day/Year

Or... If Arresting Agency &amp; Warrant were not

DATE TRANSFERRED  
TO U.S. CUSTODY

Month/Day/Year

Name and Office of Person

Furnishing Information on this form Brian J. Stretch

☒ U.S. Attorney ☐ Other U.S. AgencyName of Assistant U.S.  
Attorney (if assigned)

Timothy J. Lucey

☐ This report amends AO 257 previously submitted

## ADDITIONAL INFORMATION OR COMMENTS

## PROCESS:

☐ SUMMONS ☒ NO PROCESS\* ☐ WARRANT

If Summons, complete following:

☐ Arraignment ☐ Initial Appearance

Defendant Address:

Bail Amount: \_\_\_\_\_

\* Where defendant previously apprehended on complaint, no new summons or warrant needed, since Magistrate has scheduled arraignment

Date/Time: \_\_\_\_\_ Before Judge: \_\_\_\_\_

Comments:

18

**ATTACHMENT TO PENALTY SHEET**

**U.S.**  
**v.**  
**RAGINI VECHAM, et al.**

**Count One** : 18 U.S.C. § 371 – Conspiracy to Commit Visa Fraud, Mail Fraud, Obstruction of Justice, Witness Tampering, and to Defraud the United States (Vecham, Pallapothu, Tota, Horizon, Softnet, Reddy, Rose, Sage, Jasmine, Tulip and Lily)

<u>Penalties:</u>	Maximum prison sentence	5 years
	Fine	\$250,000
	Supervised release term	3 years
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<u>Penalties:</u>	Maximum prison sentence	10 years
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	Special assessment	\$100

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Penalties:	Maximum prison sentence	5 years
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(Vecham, Pallapothu, and Rose 23 Hayward LLC)

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(Vecham, Pallapothu, and Sage 20 Hayward LLC)

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	Fine	\$1,000,000 or twice the amount of gain or loss
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(Vecham, Pallapothu, Horizon, Softnet and Rose 23  
Hayward LLC)

Penalties:	Maximum prison sentence	20 years
	Fine	\$250,000 or twice the amount of gain or loss
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**Counts Thirty-five and Thirty-six:** 18 U.S.C. § 1957 - Money Laundering ("Proceeds")  
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Penalties:	Maximum prison sentence	10 years
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(Vecham, Pallapothu, and Tulip 26 Hayward LLC)

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SEALED BY ORDER  
OF THE COURT

E-filing

## DEFENDANT INFORMATION RELATIVE TO A CRIMINAL ACTION - IN U.S. DISTRICT COURT

BY: ☐ COMPLAINT ☐ INFORMATION ☒ INDICTMENT  
☐ SUPERSEDING

## OFFENSE CHARGED

See Attachment

- ☐
- Petty
- 
- ☐
- Minor
- 
- ☐
- Misdemeanor
- 
- ☒
- Felony

PENALTY:

See Attachment

CR 15

Name of District Court, and/or Judge/Magistrate Location

NORTHERN DISTRICT OF CALIFORNIA

SAN JOSE DIVISION

## DEFENDANT - U.S.

JASMINE 20 HAYWARD LLC

DISTRICT COURT NUMBER

## PROCEEDING

Name of Complainant Agency, or Person (&amp; Title, if any)

Chris Collins - DOL/OIG

- ☐
- person is awaiting trial in another Federal or State Court, give name of court

- ☐
- this person/proceeding is transferred from another district per (circle one) FRCrp 20, 21, or 40. Show District

- ☐
- this is a reprosecution of charges previously dismissed which were dismissed on motion of:

☐ U.S. ATTORNEY ☐ DEFENSESHOW  
DOCKET NO.

- ☐
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MAGISTRATE  
CASE NO.

- ☐
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Name and Office of Person

Furnishing Information on this form Brian J. Stretch

☒ U.S. Attorney ☐ Other U.S. AgencyName of Assistant U.S.  
Attorney (if assigned)

Timothy J. Lucey

IS NOT IN CUSTODY  
Has not been arrested, pending outcome this proceeding.  
1) ☒ If not, give date any prior summons or arrest on above charges

- 2)
- ☐
- Is a Fugitive

- 3)
- ☐
- Is on Bail or Release from (show District)

## IS IN CUSTODY

- 4)
- ☐
- On this charge

- 5)
- ☐
- On another conviction

☐ Federal ☐ State

- 6)
- ☐
- Awaiting trial on other charges

If answer to (6) is "Yes", show name of institution

Has detainer been filed? ☐ Yes ☐ No

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DATE OF  
ARREST

Month/Day/Year

Or... if Arresting Agency &amp; Warrant were not

DATE TRANSFERRED  
TO U.S. CUSTODY

Month/Day/Year

☐ This report amends AO 257 previously submitted

## ADDITIONAL INFORMATION OR COMMENTS

## PROCESS:

- ☐
- SUMMONS
- ☒
- NO PROCESS\*
- ☐
- WARRANT

If Summons, complete following:

- ☐
- Arraignment
- ☐
- Initial Appearance

Defendant Address:

Bail Amount: \_\_\_\_\_

\* Where defendant previously apprehended on complaint, no new summons or warrant needed, since Magistrate has scheduled arraignment

Date/Time: \_\_\_\_\_ Before Judge: \_\_\_\_\_

Comments:

A9

**ATTACHMENT TO PENALTY SHEET**

**U.S.**  
**v.**  
**RAGINI VECHAM, et al.**

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<u>Penalties:</u>	Maximum prison sentence	20 years
	Fine	\$250,000 or twice the amount of gain or loss
	Supervised release term	3 years
	Special assessment	\$100

**Count Twenty-eight**: 18 U.S.C. § 1505 - Obstruction of Justice, (Pallapothu)

<u>Penalties:</u>	Maximum prison sentence	5 years
	Fine	\$250,000
	Supervised release term	3 years
	Special assessment	\$100

**Counts Twenty-nine and Thirty:** 18 U.S.C. § 1512(b)(3) - Witness Tampering  
(Pallapothu)

Penalties:	Maximum prison sentence	20 years
	Fine	\$250,000
	Supervised release term	3 years
	Special assessment	\$100

**Count Thirty-one:** 18 U.S.C. § 1505 – Obstruction of Justice  
(Tota)

Penalties:	Maximum prison sentence	5 years
	Fine	\$250,000
	Supervised release term	3 years
	Special assessment	\$100

**Count Thirty-two:** 18 U.S.C. § 1014 - Loan Fraud  
(Vecham, Pallapothu, and Rose 23 Hayward LLC)

**Count Thirty-three:** 18 U.S.C. § 1014 - Loan Fraud  
(Vecham, Pallapothu, and Sage 20 Hayward LLC)

Penalties:	Maximum prison sentence	30 years
	Fine	\$1,000,000 or twice the amount of gain or loss
	Supervised release term	5 years
	Special assessment	\$100

**Count Thirty-four:** 18 U.S.C. § 1343 - Wire Fraud  
(Vecham, Pallapothu, Horizon, Softnet and Rose 23  
Hayward LLC)

Penalties:	Maximum prison sentence	20 years
	Fine	\$250,000 or twice the amount of gain or loss
	Supervised release term	3 years
	Special assessment	\$100

**Counts Thirty-five and Thirty-six:** 18 U.S.C. § 1957 - Money Laundering ("Proceeds")  
(Vecham, Pallapothu, Horizon, and  
Rose 23 Hayward LLC)

Penalties:	Maximum prison sentence	10 years
	Fine	\$500,000, or twice the value of the monetary instruments or funds involved, whichever is greater
	Supervised release term	3 years
	Special assessment	\$100

**Count Thirty-seven:** 18 U.S.C. § 1014 - Loan Fraud  
(Vecham, Pallapothu, and Jasmine 20 Hayward LLC)

**Count Thirty-eight:** 18 U.S.C. § 1014 - Loan Fraud  
(Vecham, Pallapothu, and Tulip 26 Hayward LLC)

**Count Thirty-nine:** 18 U.S.C. § 1014 - Loan Fraud  
(Vecham, Pallapothu, and Lily 20 Hayward LLC)

Penalties:	Maximum prison sentence	30 years
	Fine	\$1,000,000 or twice the amount of gain or loss
	Supervised release term	5 years
	Special assessment	\$100

SEALED BY ORDER  
OF THE COURT

Filing

## DEFENDANT INFORMATION RELATIVE TO A CRIMINAL ACTION - IN U.S. DISTRICT COURT

BY: ☐ COMPLAINT ☐ INFORMATION ☒ INDICTMENT  
☐ SUPERSEDING

## OFFENSE CHARGED

See Attachment

- ☐
- Petty
- 
- ☐
- Minor
- 
- ☐
- Misdemeanor
- 
- ☒
- Felony

PENALTY:

See Attachment

Name of District Court, and/or Judge/Magistrate Location

NORTHERN DISTRICT OF CALIFORNIA

SAN JOSE DIVISION

## DEFENDANT - U.S.

TULIP 26 HAYWARD LLC

DISTRICT COURT NUMBER

CR 15-00427-BLF  
FILED  
DEFENDANT  
PSG

## PROCEEDING

Name of Complainant Agency, or Person (&amp; Title, if any)

Chris Collins - DOL/OIG

☐ person is awaiting trial in another Federal or State Court, give name of court☐ this person/proceeding is transferred from another district per (circle one) FRCrp 20, 21, or 40. Show District☐ this is a reprosecution of charges previously dismissed which were dismissed on motion of:☐ U.S. ATTORNEY ☐ DEFENSESHOW  
DOCKET NO.☐ this prosecution relates to a pending case involving this same defendantMAGISTRATE  
CASE NO.☐ prior proceedings or appearance(s) before U.S. Magistrate regarding this defendant were recorded under

## IS NOT IN CUSTODY

Has not been arrested, pending outcome this proceeding. If arrested, give date any prior conviction was served on above charges

2) ☐ Is a Fugitive3) ☐ Is on Bail or Release from (show District)

## IS IN CUSTODY

4) ☐ On this charge5) ☐ On another conviction☐ Federal ☐ State6) ☐ Awaiting trial on other charges

If answer to (6) is "Yes", show name of institution

Has detainer been filed? ☐ Yes ☐ No

If "Yes" give date filed

DATE OF  
ARREST

Month/Day/Year

Or... if Arresting Agency &amp; Warrant were not

DATE TRANSFERRED  
TO U.S. CUSTODY

Month/Day/Year

☐ This report amends AO 257 previously submitted

Name and Office of Person

Furnishing Information on this form Brian J. Stretch

☒ U.S. Attorney ☐ Other U.S. AgencyName of Assistant U.S.  
Attorney (if assigned)

Timothy J. Lucey

## ADDITIONAL INFORMATION OR COMMENTS

## PROCESS:

☐ SUMMONS ☒ NO PROCESS\* ☐ WARRANT

If Summons, complete following:

☐ Arraignment ☐ Initial Appearance

Defendant Address:

Bail Amount: \_\_\_\_\_

\* Where defendant previously apprehended on complaint, no new summons or warrant needed, since Magistrate has scheduled arraignment

Date/Time: \_\_\_\_\_ Before Judge: \_\_\_\_\_

Comments:

A/O

**ATTACHMENT TO PENALTY SHEET**

**U.S.  
v.  
RAGINI VECHAM, et al.**

**Count One** : 18 U.S.C. § 371 – Conspiracy to Commit Visa Fraud, Mail Fraud, Obstruction of Justice, Witness Tampering, and to Defraud the United States (Vecham, Pallapothu, Tota, Horizon, Softnet, Reddy, Rose, Sage, Jasmine, Tulip and Lily)

<u>Penalties:</u>	Maximum prison sentence	5 years
	Fine	\$250,000
	Supervised release term	3 years
	Special assessment	\$100

**Counts Two - Fourteen**: 18 U.S.C. § 1546(a) - Visa Fraud (Vecham and Pallapothu)

<u>Penalties:</u>	Maximum prison sentence	10 years
	Fine	\$250,000
	Supervised release term	3 years
	Special assessment	\$100

**Counts Fifteen – Twenty-seven**: 18 U.S.C. § 1341 - Mail Fraud (Vecham and Pallapothu)

<u>Penalties:</u>	Maximum prison sentence	20 years
	Fine	\$250,000 or twice the amount of gain or loss
	Supervised release term	3 years
	Special assessment	\$100

**Count Twenty-eight**: 18 U.S.C. § 1505 - Obstruction of Justice, (Pallapothu)

<u>Penalties:</u>	Maximum prison sentence	5 years
	Fine	\$250,000
	Supervised release term	3 years
	Special assessment	\$100

**Counts Twenty-nine and Thirty:** 18 U.S.C. § 1512(b)(3) - Witness Tampering  
(Pallapothu)

Penalties:	Maximum prison sentence	20 years
	Fine	\$250,000
	Supervised release term	3 years
	Special assessment	\$100

**Count Thirty-one:** 18 U.S.C. § 1505 – Obstruction of Justice  
(Tota)

Penalties:	Maximum prison sentence	5 years
	Fine	\$250,000
	Supervised release term	3 years
	Special assessment	\$100

**Count Thirty-two:** 18 U.S.C. § 1014 - Loan Fraud  
(Vecham, Pallapothu, and Rose 23 Hayward LLC)

**Count Thirty-three:** 18 U.S.C. § 1014 - Loan Fraud  
(Vecham, Pallapothu, and Sage 20 Hayward LLC)

Penalties:	Maximum prison sentence	30 years
	Fine	\$1,000,000 or twice the amount of gain or loss
	Supervised release term	5 years
	Special assessment	\$100

**Count Thirty-four:** 18 U.S.C. § 1343 - Wire Fraud  
(Vecham, Pallapothu, Horizon, Softnet and Rose 23  
Hayward LLC)

Penalties:	Maximum prison sentence	20 years
	Fine	\$250,000 or twice the amount of gain or loss
	Supervised release term	3 years
	Special assessment	\$100

**Counts Thirty-five and Thirty-six:** 18 U.S.C. § 1957 - Money Laundering ("Proceeds")  
(Vecham, Pallapothu, Horizon, and  
Rose 23 Hayward LLC)

Penalties:	Maximum prison sentence	10 years
	Fine	\$500,000, or twice the value of the monetary instruments or funds involved, whichever is greater
	Supervised release term	3 years
	Special assessment	\$100

**Count Thirty-seven:** 18 U.S.C. § 1014 - Loan Fraud  
(Vecham, Pallapothu, and Jasmine 20 Hayward LLC)

**Count Thirty-eight:** 18 U.S.C. § 1014 - Loan Fraud  
(Vecham, Pallapothu, and Tulip 26 Hayward LLC)

**Count Thirty-nine:** 18 U.S.C. § 1014 - Loan Fraud  
(Vecham, Pallapothu, and Lily 20 Hayward LLC)

Penalties:	Maximum prison sentence	30 years
	Fine	\$1,000,000 or twice the amount of gain or loss
	Supervised release term	5 years
	Special assessment	\$100

SEALED BY ORDER  
OF THE COURT

E-filing

## DEFENDANT INFORMATION RELATIVE TO A CRIMINAL ACTION - IN U.S. DISTRICT COURT

BY: ☐ COMPLAINT ☐ INFORMATION ☒ INDICTMENT  
☐ SUPERSEDING

## OFFENSE CHARGED

See Attachment

- ☐
- Petty
- 
- ☐
- Minor
- 
- ☐
- Misdemeanor
- 
- ☒
- Felony

PENALTY:

See Attachment

Name of District Court, and/or Judge/Magistrate Location

NORTHERN DISTRICT OF CALIFORNIA

SAN JOSE DIVISION

## DEFENDANT - U.S.

LILY 20 HAYWARD LLC

DISTRICT COURT NUMBER

## PROCEEDING

Name of Complainant Agency, or Person (&amp; Title, if any)

Chris Collins - DOL/OIG

☐ person is awaiting trial in another Federal or State Court, give name of court☐ this person/proceeding is transferred from another district per (circle one) FRCrp 20, 21, or 40. Show District☐ this is a reprosecution of charges previously dismissed which were dismissed on motion of:☐ U.S. ATTORNEY ☐ DEFENSESHOW  
DOCKET NO.☐ this prosecution relates to a pending case involving this same defendantMAGISTRATE  
CASE NO.☐ prior proceedings or appearance(s) before U.S. Magistrate regarding this defendant were recorded under

## IS NOT IN CUSTODY

- 1)
- ☒
- If not detained, pending outcome this proceeding, if not detained, pending outcome this proceeding, summons was served on above charges

2) ☐ Is a Fugitive3) ☐ Is on Bail or Release from (show District)

## IS IN CUSTODY

4) ☐ On this charge5) ☐ On another conviction☐ Federal ☐ State6) ☐ Awaiting trial on other charges

If answer to (6) is "Yes", show name of institution

Has detainer been filed? ☐ Yes ☐ No

If "Yes" give date filed

DATE OF  
ARREST

Month/Day/Year

Or... If Arresting Agency &amp; Warrant were not

DATE TRANSFERRED  
TO U.S. CUSTODY

Month/Day/Year

Name and Office of Person

Furnishing Information on this form Brian J. Stretch

☒ U.S. Attorney ☐ Other U.S. AgencyName of Assistant U.S.  
Attorney (if assigned)

Timothy J. Lucey

☐ This report amends AO 257 previously submitted

## ADDITIONAL INFORMATION OR COMMENTS

## PROCESS:

☐ SUMMONS ☒ NO PROCESS\* ☐ WARRANT

If Summons, complete following:

☐ Arraignment ☐ Initial Appearance

Defendant Address:

Bail Amount: \_\_\_\_\_

\* Where defendant previously apprehended on complaint, no new summons or warrant needed, since Magistrate has scheduled arraignment

Date/Time: \_\_\_\_\_ Before Judge: \_\_\_\_\_

Comments:

**ATTACHMENT TO PENALTY SHEET**

**U.S.**  
**v.**  
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(Vecham, Pallapothu, and Rose 23 Hayward LLC)

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Penalties:	Maximum prison sentence	10 years
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(Vecham, Pallapothu, and Jasmine 20 Hayward LLC)

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